



Docket No.: 58799-016

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
Kazunori YAMANAKA, et al. :  
Serial No.: 09/623,273 : Group Art Unit:  
Filed: August 31, 2000 : Examiner:  
For: GAS TURBINE UNIT AND ITS COOLING METHOD

STATUS INQUIRY

Commissioner for Patents  
Washington, DC 20231

Sir:

The above-referenced application was filed on August 31, 2000, as evidenced by the attached copies of the return receipt postcard and Transmittal Letter. Since more than six months have elapsed since that date, and no response to said application has been received by attorney for applicant, Applicant respectfully requests that the undersigned attorney be informed of the status of the referenced application as soon as possible.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

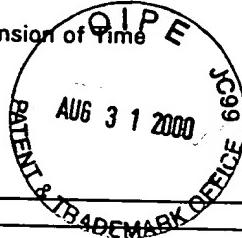
  
Arthur J. Steiner  
Registration No. 26,106

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**Date: March 1, 2001**  
Facsimile: (202) 756-8087

Applicant: KAZUO F. YAMANAKA cket No. 58799-016  
 Title/Mark: GAS.../MATERIALS Serial/Reg./Patent No. 407417 ASSIGNED  
 Date Sent: 8-31-2000 Hand Carried  1st-Class Mail  Cert. of Mailing  Expr. S/S Mail No. \_\_\_\_\_  
 Transmittal Letter  
 Utility/Design/Continuation/CIP/FWC/Divisional/PCT  
 Other: U.S. NATIONAL PHASE  
23 pages of Specification  
16 pages of Claims  
1 pages of Abstract  
3 pages of Formal/Informal Drawings  
 Information Disclosure Statement  
 Form PTO 1449  
 copies of cited references  
 Preliminary Amendment  
 Response to Missing Parts Notice  
 Certified Copy of Priority Doc.  
 Claim for Convention Priority  
 Verified Statement of Small Entity Status  
 Transmittal of Issue Fee: Form PTO 85b \_\_\_\_\_ Form PTO 85C \_\_\_\_\_  
 Maintenance Fee for \_\_\_\_\_ years after grant  
 Notice of Appeal  Appeal Brief  
 Recordation of \_\_\_\_\_ Patent \_\_\_\_\_ Trademark: Assignments/Security Agreements/  
 Response/Amendment to Office Action of \_\_\_\_\_  Petition to Commissioner for \_\_\_\_\_

Other: \_\_\_\_\_

- Trademark/Service Mark/Intent-To-Use Application
- Amendment to Allege Use  Statement of Use
- Formal/Informal/Substitute Drawing Sheet  
(no. f) Substitute/Specimens
- Continued Use Affidavit/Declaration (Section 8 & 15)
- Notice of Opposition/Answer to \_\_\_\_\_
- Ex Parte Appeal \_\_\_\_\_
- Petition for Cancellation/ Answer to \_\_\_\_\_
- Renewal of Reg. No. \_\_\_\_\_
- Combined Declaration/Power of Attorney
- Letter of Protest
- Request for \_\_\_\_\_ day/month Extension of Time



Check for \$ \_\_\_\_\_  Total Deposit Account charge for \$ 2,157.60 Attorney: AJS-1236161  
 CHI  WASH

CLO2 Descrip.: 1-970.00 3-1,476.00 5-130.00

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.



**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

58799-016

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP99/06256	November 10, 1998	
TITLE OF INVENTION		
GAS TURBINE UNIT AND ITS COOLING METHOD		
APPLICANT(S) FOR DO/EO/US		
Kazunori YAMANAKA, Masami NODA, Shinya MARUSHIMA, and Satoshi KONDO		



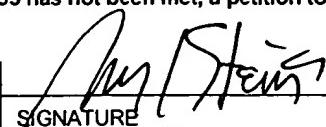
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendment has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information.

Form PCT/IB/301

U.S. APPLIC. NO. (if known, see 37 CFR 1.103)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER	
	PCT/JP99/06256	58799-016	
			CALCULATIONS PTO USE ONLY
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$690.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00			
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			\$ 970.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$ 130.00
Claims	Number Filed	Number Extra	Rate
Total Claims	24 -20 =	4	x \$18.00
Independent Claims	21 -3 =	18	x \$78.00
Multiple dependent claim(s) (if applicable)			+ \$260.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$ 2,576.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).			\$
<b>SUBTOTAL =</b>			\$ 2,576.00
Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +			\$
<b>TOTAL NATIONAL FEE =</b>			\$ 2,576.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$
<b>TOTAL FEES ENCLOSED =</b>			\$ 2,576.00
Amount to be: refunded			\$
charged			\$
a. <input type="checkbox"/>	A check in the amount of \$ _____ to cover the above fees is enclosed.		
b. <input checked="" type="checkbox"/>	Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>2,576.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.		
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO:		 SIGNATURE Arthur J. Steiner NAME 26,106 REGISTRATION NUMBER <u>August 31, 2000</u> DATE	
McDERMOTT, WILL & EMERY 600 13 <sup>th</sup> Street, N.W. Washington, DC 20005-3096 (202) 756-8000 Facsimile (202) 756-8087			